Presentation Objectives

In this webinar you will learn about:

› Role of Commission, Commissioner & DCA
› Importance of communication to work out issues
› Enforcing the Compact in your own state
› Resources available to assist with difficult conversations

Compact Roles Explained
Purpose of ICAOS

- Promote Public Safety
- Protect the Rights of Victims
- Ensure Effective Supervision & Rehabilitation
- Control/Track Movement of Offenders

Role of Commission, Commissioner & DCA

**Commission**
- Promulgate rules & enforce compliance to achieve the purpose of the compact

**Commissioner**
- Represent state in Commission
- Ensure adequate resources, work w/ state officials to enact policy/legislation change

**DCA**
- Manages day to day
- Coordinates activities & act as resources for stakeholders
- Ensure compliance
- Communicate needs to Commissioner

What is a DCA?

**Manage**
- Ensure your state is compliant
- Administer ICOTS Users & Compact Office Staff
- Manage Workflow
- Establish procedures to ensure compact fits into supervision requirements

**Resource**
- Act as resource and main point of contact for all stakeholders (supervising agencies, courts, jails, etc.)
- Keep Commissioner apprised of compact related issues & ask for assistance when needed

**Cooperate**
- Communicate and work out issues with other states
- Participate in trainings & discussions
- Resolve conflict with internal stakeholders & other states
Ensure Compact statute requirements are met:
- Attend meetings/vote, functioning state council, due as paid.

Ensure adequate resources for compact office:
- Staffing levels, technology, training opportunities, policies & practices align with ICAOS rules

Secure necessary relationships for optimal compact operations:
- Stakeholders, informed on field operations, propose policy/legislative changes

Resolve conflict unable to be resolved by DCA:
- Internally, with other states, ask for assistance when necessary & file complaints

**Commissioner Role**

<table>
<thead>
<tr>
<th>Commissioner Role</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Importance of Commissioner/DCA Relationship</strong></td>
</tr>
<tr>
<td><strong>DCA should:</strong></td>
</tr>
<tr>
<td>‣ Keep the commissioner informed of the workflow and compliance of the ICAOS operations.</td>
</tr>
<tr>
<td>‣ Elevate non-compliance issues that cannot be resolved to the commissioner</td>
</tr>
<tr>
<td></td>
</tr>
</tbody>
</table>

**Interstate Compact Offices**

<table>
<thead>
<tr>
<th>Interstate Compact Offices</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Administers the Compact</td>
</tr>
<tr>
<td>• Point of contact for case specific questions</td>
</tr>
<tr>
<td>• Conducts training</td>
</tr>
<tr>
<td>• Resolves issues with other states</td>
</tr>
<tr>
<td>• Ensures rule compliance</td>
</tr>
<tr>
<td>• Develops &amp; recommends in-state ISC operating procedures to State Council</td>
</tr>
</tbody>
</table>

ICAOS Directory: [https://www.icacos.org/regions-states](https://www.icacos.org/regions-states)
Resolve by Communication

Know Expectations

Work Together & Stay Connected

- Prevent absconders
- Always provides good documentation & communication in ICOTs
- Elevate when warranted

Public Safety #1

Good Communication & Positive Relationships

<table>
<thead>
<tr>
<th>Why do I have…</th>
<th>Why don’t I have…</th>
</tr>
</thead>
<tbody>
<tr>
<td>Investigate and do not assume my state is in the right.</td>
<td>Understanding/recognizing the differences in supervision practices</td>
</tr>
<tr>
<td>Good listener/responsive</td>
<td>Do not like confrontation</td>
</tr>
<tr>
<td>Willingness to compromise</td>
<td>Inflexible</td>
</tr>
<tr>
<td>Ask questions</td>
<td>Unavailable/unresponsive</td>
</tr>
</tbody>
</table>
Importance of Positive Relationships

External
- You are going to need each other
- Understand Perspective
- Always THINK Purpose of the Compact

Internal
- Challenge thoughts/feelings
- Don't fall into the 'Always' & 'Never' about other states
- Data doesn't lie-Invalid rejection: Are your officers verifying plans/providing sufficient detail? Are staff reviewing?

Relationship Building

1. Learn How Other States Operate:
   - What is their authority, the court's authority, violation matrix, etc.
   - Example, some agents/officers can issue warrants, some only the court can

2. Ask Questions!
   - "Can you tell me how your state handles this..."
   - Look at situation from other states' perspective or offender's perspective

3. Documentation
   - Provide whole story
   - ALWAYS review the offender profile
   - Suggest/Implement ways to be more clear

4. Open & Honest
   - Own it & fix it!
   - No finger pointing!

Communication Opportunities & Strategies

DCA Region Meeting
- Apprise other states of law/policy changes
- Poll on how states handle....
- Consensus building

Border Meeting
- Meet face to face
- Open Dialogue

Take Initiative
- Weekly Status to review ongoing cases/issues
- Suggest improvements
- Constant problem solving

Phone a Friend
- Get outside unbiased feedback and perspective

Seek New DCAs
- Next ABM meet all new DCAs

Strategy
Enforcing Compact

Interstate Compact Legislation

Courts, Parole Boards, Community Corrections & Other Executive Agencies:
› Subject to the ICAOS Rules
› Must enforce & effectuate the Compacts

Know your statute!!!

ICAOS is enacted into law by YOUR STATE

Statute Explained

1. Requires Rules to be Promulgated & Applied
   ▪ Adhering to the Core Purpose of the Compact
   ▪ Efficiently & Effectively

2. Outlines Commissioner’s Duties
   ▪ Establish By-laws, Council, Policy, etc.
   ▪ Reporting to State Officials
   ▪ Monitor Compliance/Establish Measures

3. Defines Commissioner Appointment Process (which varies state to state)
**Authority of an Interstate Compact**

<table>
<thead>
<tr>
<th>Authorized</th>
<th>Binding</th>
<th>Supersedes</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Compact is authorized by US Constitution &amp; Crime Control Act</td>
<td>The Compact is binding upon all state authorities &amp; citizens</td>
<td>The Compact rules supersede conflicting state law</td>
</tr>
</tbody>
</table>

**Implications of Non-Compliance**

It is incumbent upon prosecutors, judges and other state officials to understand the requirements of the Compact and its rules, as well as the consequences of non-compliance.

Failure of state judicial or executive branch officials to comply with the Compact and its rules results in the state defaulting on its obligations under the Compact and could lead the Commission to take corrective or punitive action, including suit in federal court for injunctive relief.

**Know what needs Improvement**

Review the Rules & Self-Assess

› Know what rules pose challenges for your state and keep the conversation on it.
› Take initiative/action
Information & Communication are Key!

- Educate your Commissioner
- Provide info, updates
- Identify issues
- Develop a plan
- Know what information is important and relevant to each stakeholder
- Speak in terms they understand
- Provide quick references to get buy in
- Incorporate State Council members

Effect Change in Your State

Think Outside the Box to implement:

- Policies
- Procedures
- Strategies

Examples

<table>
<thead>
<tr>
<th>Commissioner</th>
<th>Retaining</th>
<th>Warrants</th>
<th>Policy/Legislation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Moved appointment from Parole to Probation</td>
<td>Streamline process/self conduct</td>
<td>Walk through with court</td>
<td>NE's Supreme Court ruling on custodial sanctions &amp; Parole's response to client behavior policy</td>
</tr>
<tr>
<td>State Council Meeting</td>
<td>Courts</td>
<td>Policy/Legislation</td>
<td></td>
</tr>
<tr>
<td>Collaborative agenda</td>
<td>Pre-Sentence processes</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Resources to Assist

Regional DCA Meetings

- **COVID-19 highlighted importance:**
  - Learned how restrictions impacted business in each state while keeping the focus on working together to maintain public safety.

- **Identify best practices:**
  - In addition to learning each other’s challenges, share best practices.

- **Build relationships:**
  - Learn from each other. Open opportunity for ongoing engagement and relationship building.

- **Empower each other:**
  - In absence of Commissioners, DCAs can strengthen communication and discuss issues & decisions considered by the Commission.

DCA Region Chairs
State Council

Diverse State Councils connect Interstate Compact with various state agencies:

- Establishes allies & advocates for Interstate office
- Provides opportunity for outside feedback & perspective
- Regular meetings are key & should highlight positive topics over negative
- Opens opportunity to provide training to stakeholders

DCA Mentoring Program

Who Can Participate?

- New DCAs
- Any DCA referred by Commissioner for specific goal

Getting Started

- DCA Liaison assesses needs and assigns mentor
- Resources & worksheets on ICAOS support site

What is Learned?

- Roles for DCA & Compact Office
- Review Rules & legal publications
- Roles of Commission

Point of Contact

- Regular meetings
- Consults with on difficult or unique cases
- Advises on issues or questions

https://support.interstatecompact.org/hc/en-us/sections/207450728-ICAOS-Information-DCA-Mentoring-Program

National Office Assistance

Technical

- ICOTS Support
- Dashboards
- Website & Directory
- Communications

Training

- Compact Staff trainings
- OnDemand trainings
- TTA for stakeholders
- PowerPoint templates
- NEW Legal Training PPT

Resource

- Identifies problem areas
- Strategy & best practice development
- Problem solving
- Publications
National Office Staff

We exist to support the Commission’s motivations, needs and expectations through innovation and education as the backbone and public face of the Commission. The National Office serves the Commission as a liaison by developing and providing resources for communication, organization and training. Our excellence, efficiency and professionalism benefit the Commission, victims, offenders, law enforcement agencies, and society as a whole.

ICAOS Resources: www.interstatecompact.org

Compact Online Reference Encyclopedia
A cross-referenced guide on all ICAOS white papers, advisory opinions, training modules, ICOTS, Hearing Officer Guide and the Bench Book.

Training Support
All of the Commission’s training material, resources and on-demand modules in one convenient location.

Interstate Compact Offender Tracking System
The Commission’s national tracking system that administers the transfers for all compact offenders.

ICAOS Bench Book for Judges and Courts
The Commission’s judicial reference tool to assist judges and court personnel on the Compact.

Dashboard Reports

More than 35 reports:
- Due Date Management
- Compliance Performance
- ICOTS User Administration
- Compact Activity History (stats)

Use to:
- Report to Stakeholders
- Analyze to identify problem areas/training needs
Dashboard Training

OnDemand training available to website users with access to Dashboards

Provides descriptions and demonstrations for various uses

More resources on support site

https://support.interstatecompact.org/hc/en-us/categories/203550447-Reports

Questions

Interstate Compacts for Adult Offender Supervision

Thank You

Interstate Compact for Adult Offender Supervision