INTERSTATE COMMISSION FOR ADULT OFFENDER SUPERVISION
COMPLIANCE COMMITTEE

Meeting Summary and Minutes
via Conference Call
11:30am to 12:50pm (EST)
March 4, 2003

Committee Members in Attendance:

1. Bonnie Long-Oliver, Compact Administrator, CA
2. Michael Mullen, Chairman of Parole, CT
3. Robert Sanders, Deputy Secretary for Field Services, DOC, KS
4. Judith Sachwald, Director of Parole & Probation, MD
5. Denis Agniel, Chairman of Probation & Parole, MO
6. Mike Ferriter, Compact Administrator, MT
7. Sherry Pilkington, Asst. Director of Special Operations, DOC, NC
8. Warren Emmer, Director of Field Services, DOC, ND

Guests:

1. Joe Kuebler, GA
2. Charles Placek, ND
3. Bob Steinman, MI
4. Kathie Winckler, TX
5. Janice Young, ND

Staff:

1. Kermit Humphries
2. Rick Masters
3. Mike McCabe
4. John Mountjoy

Warren Emmer, Chair of the Committee, called the Compliance Committee to order at 11:35am (EST). Following introductory remarks, Emmer called for a roll call of members. 8 of 8 members were present, establishing a quorum. Emmer reviewed the purpose of the meeting and the purpose of the committee, commenting that the Compliance Committee is clearly linked with several other Interstate Commission committees, most notably Rules and Training, Education and PR.
Emmer began the meeting by soliciting input and comments from the members on what issues and processes the group needed to address as it begins its work. The following issues were raised in roundtable discussion:

1. Refusal to accept offenders in violation (misdemeanants, sex offenders, rejections)
2. Failure to meet compact timelines
3. Failure to retake offenders
4. No reporting instructions
5. Separate delivery systems for probation and parole
6. Data reporting by states
7. State not paying their assessed dues
8. Problems with travel permits

Emmer addressed the grievance process for states, focusing on the current PPCAA form for grievance (see facsimile from Warren Emmer, 3-4-03). Emmer proposed that the Compliance Committee adopt the current grievance form (w/ some changes) as the standard to be used by the member states.

Emmer suggested the development of a sanction matrix to detail the range of offenses by states and the range of sanctions available to the Commission. Pilkington suggested the development of a state survey to determine the current extent of non-compliance with compact rules and guidelines. Committee approved the idea by consensus and Emmer asked Pilkington to draft a survey instrument that staff could use with the states, the results of which should be made available to the committee at the June 2003 Committees meeting.

Emmer, returning to the list of 8 issues previously identified, led a discussion among committee members in paring down the list into manageable workgroups. Thos workgroups, along with their member assignments are:

1. Training & Technical Assistance to States - Ferriter, Sachwald
2. Proximity Mentoring Assistance - Agniel, Long-Oliver
3. Arbitration & Mediation - Emmer, Sanders
4. Sanctions (Fines, fees, suspension, termination, litigation) - Mullen, Pilkington

Emmer directed that the working groups should report their results and progress to him in 30 days (April 4, 2003).
• Emmer called for dates for the next Compliance Committee meeting via conference call. The group, by consensus, decided on Tuesday, April 15, 2003 at 11:30am (EST).

• The Compliance Committee adjourned at 12:50pm (EST).