



STRONGER TOGETHER



FISCAL YEAR TWENTY TWENTY

Annual Report

Interstate Commission for
Adult Offender Supervision

EXECUTIVE COMMITTEE

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Hope Cooper (KS), *Vice Chair*

Gary Roberge (CT), *Treasurer and Finance
Committee Chair*

Dale Crook (VT), *East Region Chair*

Russell Marlan (MI), *Midwest Region Chair*

Julie Kempker (MO), *South Region Chair*

Roberta Cohen (NM), *West Region Chair*

Allen Godfrey (MN), *Compliance Committee Chair*

Chris Moore (GA), *Information Technology
Committee Chair*

Mary Kay Hudson (IN), *Rules Committee Chair*

Joselyn López (WI), *Training, Education and
Public Relations Committee Chair*

Tracy Hudrlik (MN), *DCA Liaison Committee Chair*

John Gillis (NOVA), *National Victims' Representative*

NATIONAL OFFICE STAFF

Ashley H. Lippert, *Executive Director*
859.721.1051 | alippert@interstatecompact.org

Allen Eskridge, PhD, MPA
Director of Policy & Operations
859.721.1052 | aeskridge@interstatecompact.org

Xavier Donnelly, MBA, *ICOTS Project Manager*
859.721.1053 | xdonnelly@interstatecompact.org

Mindy Spring, *Training and Audit Coordinator*
859.721.1054 | mspring@interstatecompact.org

Barno Saturday
Logistics and Administrative Coordinator
859.721.1056 | bsaturday@interstatecompact.org

Kelsey Moore
Web Applications & Technical Support Manager
859.721.1055 | kmoore@interstatecompact.org



LETTER FROM THE CHAIR



*Jeremiah Stromberg (OR)
Chair*



*Hope Cooper (KS)
Vice-Chair*



*Gary Roberge (CT)
Treasurer*

As we prepare this year's annual report, the world continues to confront one of the greatest health threats of a generation. The effects may be lasting; but we adapt to change as individuals, professionals, and as a Compact. From this experience, we know that we can continue our mission, and our commitment to purpose remains unchanged.

Under normal circumstances, this letter would introduce or update members on initiatives and projects pursued by the Commission over the year. However, no one could have predicted the enormous disruptions to our nation and our work in corrections and public safety. Though we could devote an entire report to our actions, state challenges, and the results, our efforts would be incomplete if we would not give this important topic the benefit of significant analysis and allow adequate time for a proper perspective. When the time is right, we will reflect on these events and our response with thoughtful consideration.

Today, however, our focus must remain in the present. We must continue to emphasize the actions that the Commission takes to remain strong, resilient, and well-positioned to support our colleagues and communities across the nation.

From these articles, you will learn about the projects and objectives the Commission engaged in before and during the pandemic. Together, we will take pride in the improvements to our technology and national data system; and, you will be amazed at the improvement to user experiences resulting from standardized data entry and the addition of help/information points directly into system screens.

I am also excited about the warrant notification project and how its pursuit may revolutionize our ability to track Compact offender warrants. Additionally, there is much to learn from the preliminary data related to the impact of COVID-19 on the Interstate Compact. This data reflects the Commission's steadfast commitment to public safety and successful offender outcomes.

I am honored to lead this Commission and work alongside a dedicated executive team, the professional staff at our National Office, and outstanding colleagues from across the nation. I am also filled with gratitude and appreciation to the commissioners, deputy compact administrators, and compact offices for their faithful professionalism, integrity, and collaborative spirit.

In the days ahead, we will stay devoted to our mission. We will move beyond process and carefully evaluate how our systems and actions produce accountable results. No matter the challenge, we will continue to define success and celebrate it together.

Best Regards,
Jeremiah Stromberg, Chair
Interstate Commission for Adult Offender Supervision

EQUAL TREATMENT FOR ALL

People of color, particularly black Americans who represent roughly 13 percent of the total U.S. population, are disproportionately incarcerated throughout the nation. Similarly, rates for community supervision reflect the same general disparity between races.

Community Supervision Rates by Race, 2016

WHITE
1 IN 81

BLACK
1 IN 23

Source: *The Pew Charitable Trusts, 2018*

In 2016, black offenders accounted for 30 percent of the nearly 4.5 million persons under community supervision throughout the U.S. These rates were consistent with persons under community supervision through the Interstate Compact.

Access to education, jobs, and affordable housing affects criminogenic behavior; therefore, it is important to address persistent inequalities. Further, as recent events demonstrate, our communities deserve meaningful action to diminish inequities arising from systemic imbalances. While the Compact alone may not mitigate systemic inequalities, it can act as an advocate and ensure equal application of Compact rules.

A review of ICOTS data for key areas such as acceptance rates, requests for reporting instructions and violation reports reveals a small variance in percentage rates of acceptance by race over time (see Acceptance Rate by Race, Violation Reports, & Requests for Reporting Instructions by race over time). The degree of variance, however, does not invalidate the need for broad progress toward a more equitable system of justice.

Violation Reports:

	Active Cases 2013 to Present	Violation Reports	Percentage
All	501,111	143,351	28.6%
White	334,724	91,844	27.4%
Black	151,199	41,393	27.4%

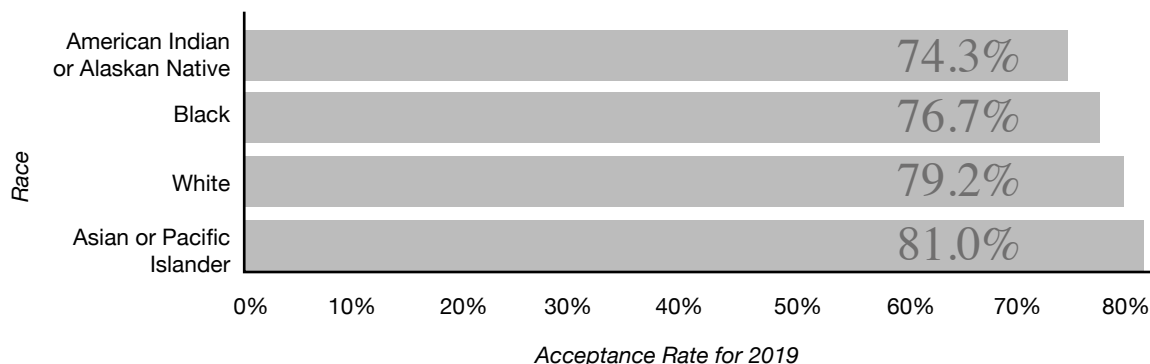
To that end, ICAOS remains steadfast in its support of criminal justice reform. Through the National Office, we continue to work with relevant criminal justice partners and associations to examine data that may identify areas for improvement within and beyond the context of general reform efforts. Further, the Commission looks forward to engaging its members in dialogue to ensure our commitments, actions, and results meet our standards.

To evaluate your state-specific population data for community supervision under the Compact, please consult with your state compact office and request the dashboard report “*Transfer Decisions – [Incoming/Outgoing]*.” There you can filter transfer data by race. The ICAOS dashboard reports can also be accessed here: <https://www.interstatecompact.org/icaos-dashboards>.

Requests for Reporting Instructions (RI):

Race	Total Transmitted	Approved RI-Replies	Denied RI-Replies	RI Acceptance Rate
All	665,223	505,543	159,690	76.0%
White	427,716	326,261	101,445	76.3%
Black	183,990	139,572	44,418	75.9%

Sources: Kaeble, D., & Cowhig, M. (2018). *Correctional Populations in the United States, 2016* (Rep. No. NCJ251211). Bureau of Justice Statistics; Horowitz, J., & Utada, C. (2018, December 6). *Community Supervision Marked by Racial and Gender Disparities*. Retrieved July 8, 2020, from <https://www.pewtrusts.org/en/research-and-analysis/articles/2018/12/06/community-supervision-marked-by-racial-and-gender-disparities>.





COMMISSION AWARDS

Executive Chair Award, Allen Godfrey (MN),
Commissioner

Executive Director Award, Timothy Strickland (FL),
Deputy Compact Administrator

Peyton Tuthill Award, Lori King (OH),
Victim Representative

SPIRIT OF THE COMPACT AWARDEE

Dori Littler (AZ)

Bryce Donahue (CA)

Matthew Billinger (KS)

Cynthia Root (KS)

Rick Kuttenkuler (MO)

Betty Payton (NC)

Sally Reinhardt-Stewart (NE)

Roberta Cohen (NM)

Stephanie Vincenti (NM)

Sarah Ball (SD)

Doug Clark (SD)

Deborah Duke (TN)

Bamidele Olusola (TX)

Tanja Gilmore (WA)

EX OFFICIO PARTNERS

American Jail Association (AJA)

American Probation and Parole Association (APPA)

Association of Paroling Authorities International (APAI)

Association of Prosecuting Attorneys (APA)

Conference of Chief Justices (CCJ)

Conference of State Court Administrators (COSCA)

International Association of Chiefs of Police (IACP)

Interstate Commission for Juveniles (ICJ)

National Association of Public Defense (NAPD)

National Association of Attorney General (NAAG)

National Association of Police Organizations (NAPO)

National Conference of State Legislatures (NCSL)

National Criminal Justice Association (NCJA)

National Governors Association (NGA)

National Institute of Corrections (NIC)

National Organization for Victim Assistance (NOVA)

National Sheriffs' Association (NSA)

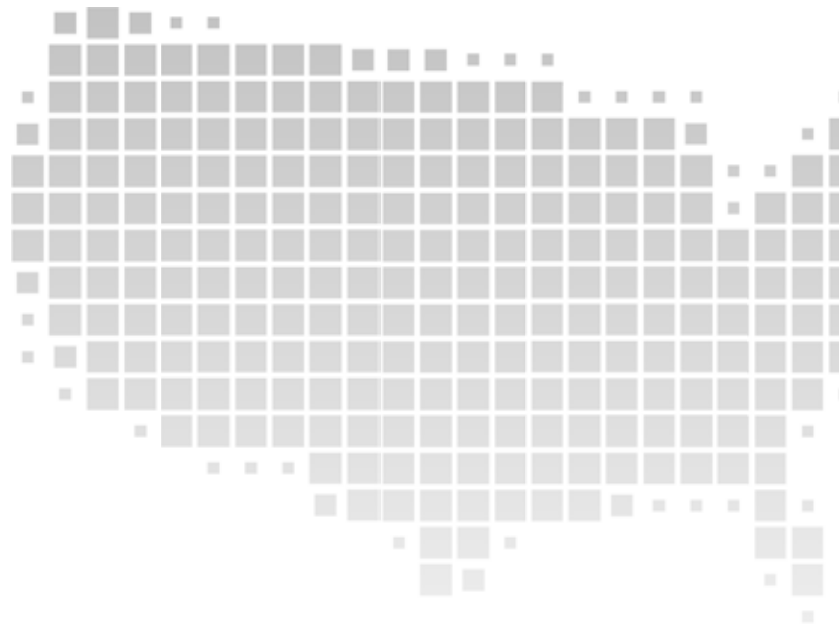
Engaging Members — 2019 Annual Business Meeting

There was no shortage of engagement and teamwork among the 150 attendees who gathered by the bay in San Diego, California for the Commission's 2019 Annual Business Meeting. Attendees had a packed agenda, including a panel of judges and public health leaders who addressed the opioid crisis. As well, Commission members benefitted from the participation of legal experts who provided guidance on the courts, litigation, and other legal matters. Attendees also debated changes to the Compact rules and discussed prevailing issues related to warrants as well as supervision strategies for receiving states.

After region and committee meetings, the Commission ended the week with an overview of California's criminal justice reform and held a forum for ex-officio partners to share initiatives and highlight collaboration opportunities.

New Year... New Legal Counsel

To preserve organizational memory and enhance business continuity, the Executive Committee decided to expand the Commission's legal representation. Accordingly, the Executive Committee welcomed Mr. Thomas Travis as counsel for the Compact. Mr. Travis brings a wealth of knowledge and experience, including a cum laude Juris doctorate from the University of Kentucky College of Law and service as a clerk to the chief justice of the Supreme Court of the Commonwealth of Kentucky. While Mr. Travis now serves as the Commission's General Counsel, Mr. Rick Masters intends to continue his service as special counsel, giving the Commission his unparalleled knowledge and long-standing expertise in compact law.



FY 2020 *in* REVIEW

Warrants. What's the Issue?

The FY 2020 audit examined state practices for issuing and tracking warrants in an ongoing effort to ensure compliance. It revealed a lack of resources, inadequate access to warrant systems, and numerous stakeholders involved in the process. Combined, these challenges warranted a closer look to determine compliance principles geared toward success. A follow-up audit intended to explore outcomes from practices implemented to overcome barriers as well as define achievable timeframes balanced with public safety. While the follow-up to the FY 2020 audit was deferred to FY 2022, states remained encouraged to not lose focus on the importance of issuing and tracking compact compliant warrants.

Partnering for Change

From continuing discussions centered on warrant processes and state obligations related to absconding and retaking, the National Office, along with the SEARCH Group and the Interstate Commission for Juveniles, is the recipient of a grant from the Department of Justice to develop an information and reporting system related to an offender's warrant status in the FBI's supervised release file. The development of a notification service began this year as the grant team identified functional requirements and the core elements of the project.

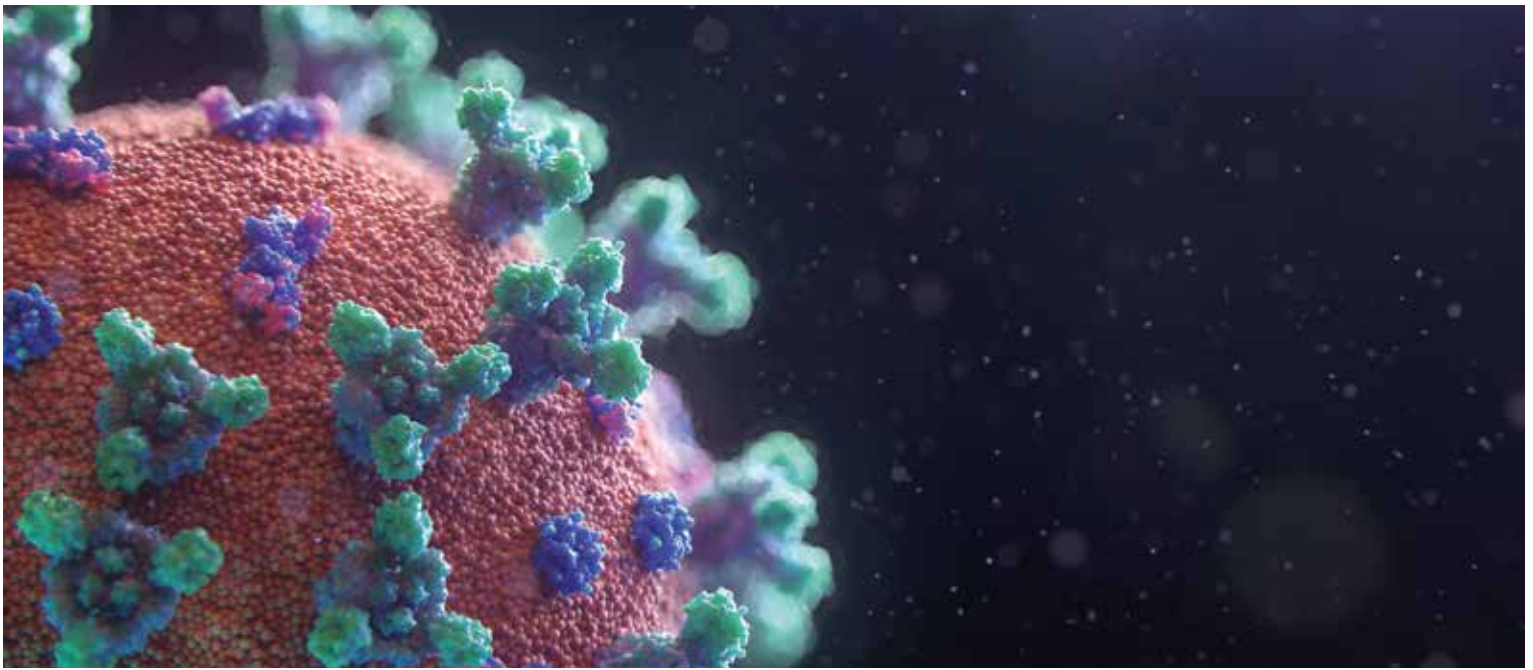
Further, through its partnership with the Criminal Justice Information Systems division of the FBI, ICAOS petitioned to incorporate changes to NCIC that include a compact indicator and 'no bond allowed' notice when entering warrants on compact offenders. These modifications to the National Crime Information Center received preliminary approval from the CJIS advisory group.

Expanding Our Impact

Each year, over 5,000 individuals access interstate compact training online. With the launch of the Compact Online Reference Encyclopedia (CORE), access to information and resources is easier than ever. But perhaps most significant, are the unique training opportunities ICAOS was a part of this year. Chair Jeremiah Stromberg (OR) and ICAOS General Counsel Thomas Travis delivered a webinar on *What Courts Need to Know About the Commission's COVID-19 Response*. Developed in partnership with the National Center for State Courts (NCSC), the webinar highlighted how changes in supervision methods and travel restrictions presented challenges to states and the resulting measures the Commission instituted to assist states through this challenging time.

Another opportunity to educate the legal community evolved through a partnership with the National Association of Attorneys General (NAAG), National District Attorneys Association (NDAA), and the Association of Prosecuting Attorneys (APA). ICAOS and ICJ executive directors delivered a webinar to state and local prosecutors, state attorney general officials, correctional services, and state police highlighting interstate compact processes for both commissions.





COVID -19

FEBRUARY 29

Washington declares state of emergency – First emergency declared but others are quick to follow.



MARCH 13

US Emergency Declared – Presidential order sets the state for social distancing, affecting public & private organizations.

Emergency Policy Adopted – Executive Committee acts to provide guidance to state responses.

APRIL 1

Emergency Policy Amended – Experience & feedback leads to positive changes in policy & action.



APRIL 10

Emergency Training – The first of four training sessions is held with 560 webinar attendees.

APRIL 21

Commission Meets Virtually – Adoption of Rule 2.111 strengthens legal suspension of enforcement.



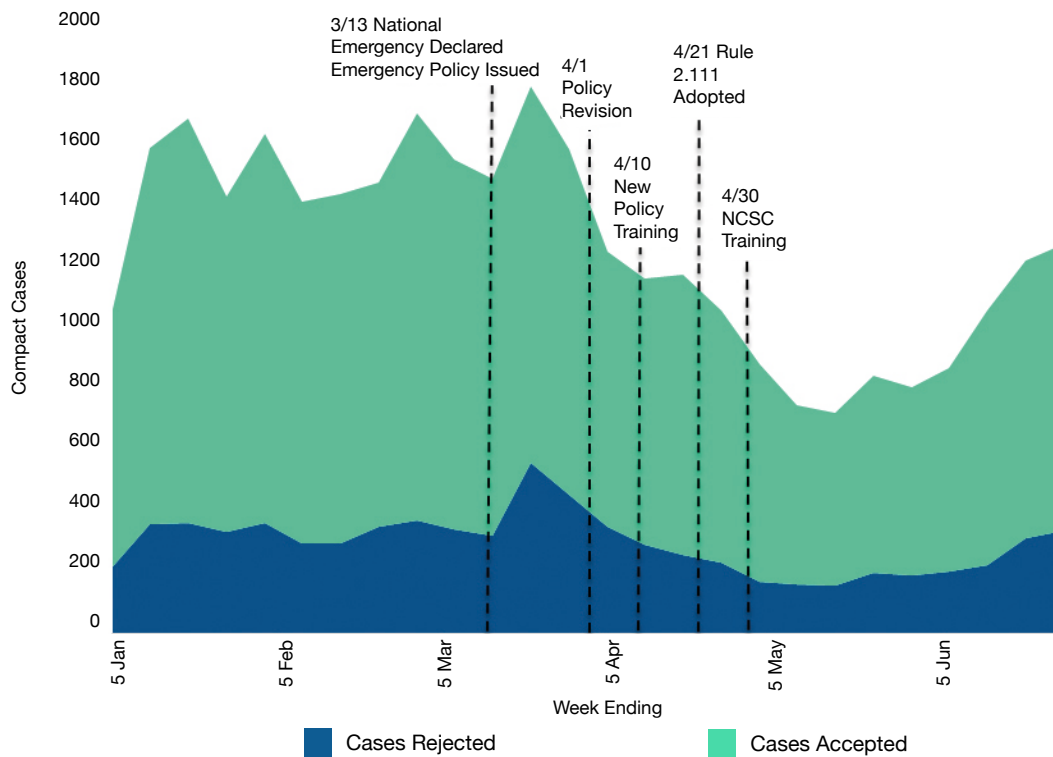
APRIL 30

Joint COVID Response Training – NCSC and ICAOS host collaborative rule & policy training for judges & courts.

This year, the Commission faced extraordinary circumstances resulting from the COVID-19 pandemic. Federal and state declarations of emergency complicated or temporarily interrupted our collective ability to meet requirements outlined in our rules. Meeting the challenge, however, the Commission acted swiftly to adopt an emergency policy and rule (See, Rule 2.111) that addressed the current crisis as well as potential future crises. Further, the Commission hosted new targeted training and engaged in focused partner outreach to educate members and stakeholders on compact activities, state actions, changes in supervision methods, and affected travel restrictions.

Monitoring ongoing developments to prevent broad case denials and unwarranted blanket restrictions on transfers, the national office tracked metrics related to case acceptance and transfer activity. In general, the office continued to actively monitor state-imposed restrictions to uphold compact operations as required by law.

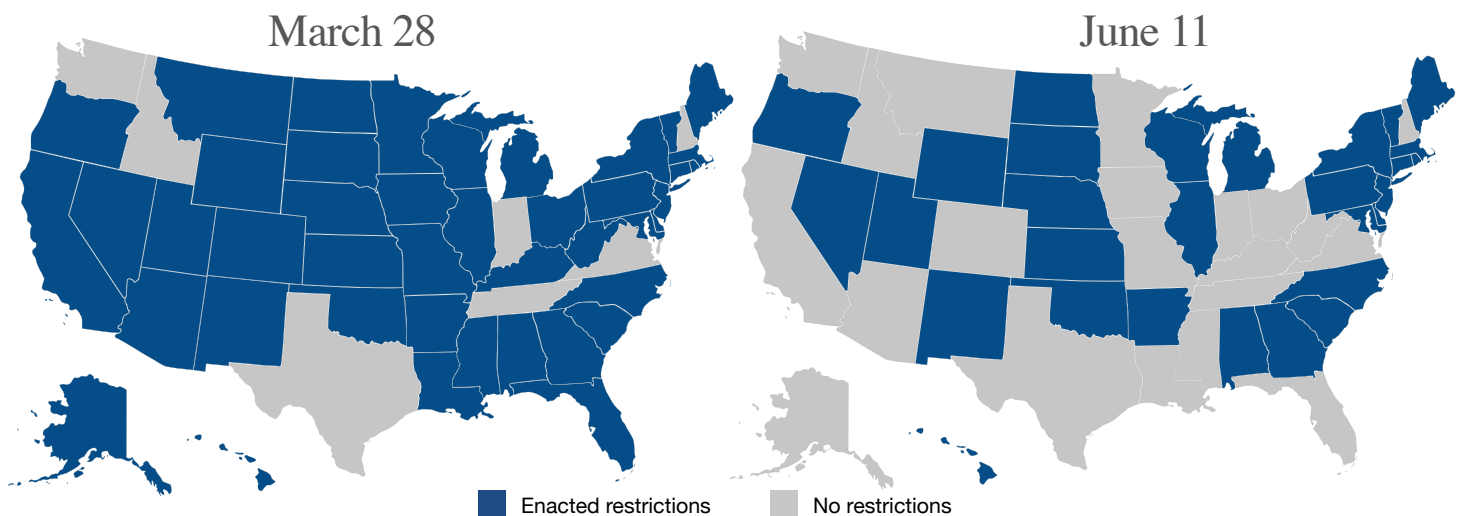
National Transfer Reply Decisions by Week



Following the adoption of the emergency guidelines policy, transfer denials increased from 23% of all transfer decisions on March 13 to 36% by March 21. Yet, following interventions noted above, a notable decrease in the number of rejections occurred (See Transfer Reply Decision – By Week). As well, a significant decline occurred in violation types from the start of the pandemic in March. While a general decline was expected as states and courts shifted to non-traditional work arrangements, the data also revealed sustained drops in behavior requiring retaking, possibly indicating enhanced cooperation between states and a willingness to work with offenders in lieu of retaking.

As the pandemic and state efforts to address it progressed, restrictions began to lift by early June, increasing transfer request activity (See State Restrictions Map). Outpacing growth in overall activity, however, rejections rose to account for 30% of related activity by the final week of June.

Despite lingering uncertainty regarding the duration and extent of the pandemic's effects, the Commission continues to witness enhanced collaboration among members. Compact offices, notwithstanding significant constraints, clearly remain focused on our mission and purpose. Beyond numbers and calculations, the resilience of fellow compact officers is evident, and it is inspiring.



FINANCIAL REPORT

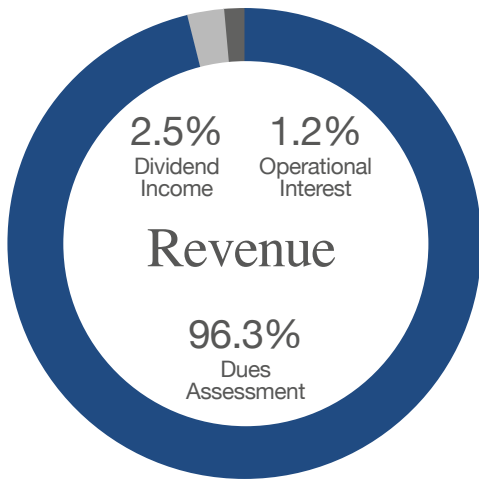
Despite the challenges facing the nation, the Commission ended FY 2020 in a strong financial position. Declines in stock market returns did not adversely affect the Commission's overall investment health. Investment income along with operational changes created a stable fiscal position capable of supporting a 30% dues reduction to all member states for FY 2022.

AUDITOR'S REPORT

Please reference opposite page. As required by statute, the Commission engaged independent auditors, Hicks & Associates, who provided an unmodified and clean opinion.

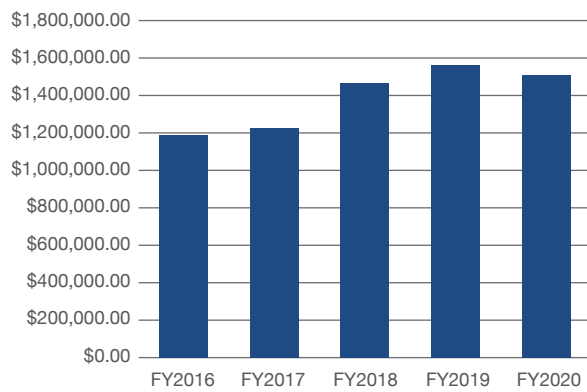
FY 2020 FINANCIALS

Revenue: \$1,609,208.83

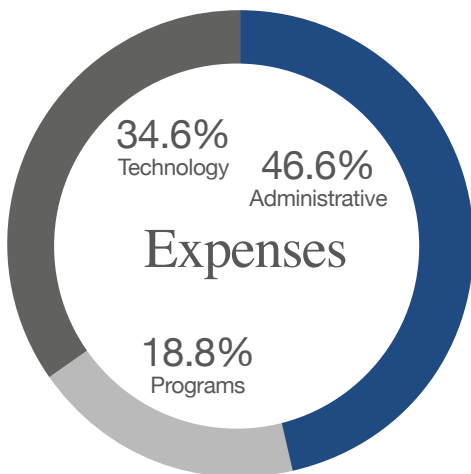


Cash Reserve Balance: \$1,519,694.73

Cash Reserve Balance

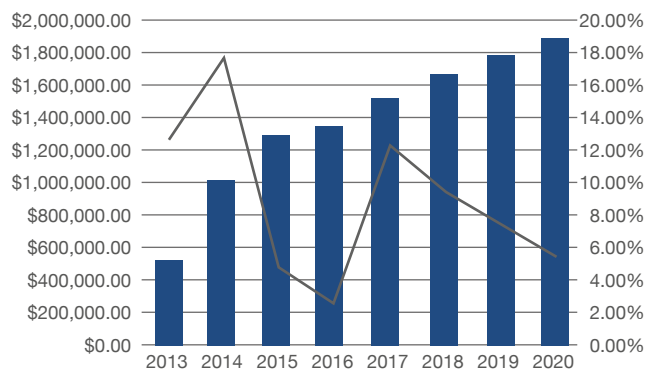


Expenses: \$1,504,584.27



Investment Balance: \$1,887,103.49

Investment Performance and Balance



■ Fund Balance ■ Annual Rate of Return

Hicks & Associates CPAs

CERTIFIED PUBLIC ACCOUNTANTS

INDEPENDENT AUDITORS' REPORT

To the Executive Committee
Interstate Commission for Adult Offender Supervision
Lexington, Kentucky

We have audited the accompanying financial statements of the Interstate Commission for Adult Offender Supervision ("Organization"), which comprise the statement of assets, liabilities, and net assets - modified accrual basis as of June 30, 2020, and the related statements of revenues, expenses, and changes in net assets - modified accrual basis and cash flows - modified accrual basis for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with the modified accrual basis of accounting as described in Note A; this includes determining that the modified accrual basis of accounting is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditors consider internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the assets, liabilities, and net assets of the Organization as of June 30, 2020, and its revenues, expenses, and changes in net assets and cash flows for the year then ended in accordance with the modified accrual basis of accounting as described in Note A.

Basis of Accounting

We draw attention to Note A of the financial statements, which describes the basis of accounting. The financial statements are prepared on the modified accrual basis of accounting, which is a basis of accounting other than accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to this matter.

Hicks & Associates CPAs

Lexington, Kentucky

August 20, 2020

INCOMING AND OUTGOING CASES – COMPACT SUPERVISION OFFENDERS AS OF 6/30/2020

States	Incoming Cases			Incoming Offenders	Outgoing Cases			Outgoing Offenders	Total Offenders
	Parole Only	Probation Only	Probation and Parole		Parole Only	Probation Only	Probation and Parole		
Alabama	603	2,895	110	3,541	462	1,264	3	1,717	5,258
Alaska	40	116	3	157	25	44	51	114	271
Arizona	691	1,470	-	2,124	224	2,661	-	2,869	4,993
Arkansas	608	1,593	17	2,179	1,810	1,588	15	3,396	5,575
California	1,296	4,226	77	5,522	742	2,275	-	2,999	8,521
Colorado	381	1,403	-	1,745	838	2,637	-	3,412	5,157
Connecticut	153	733	-	872	153	895	-	1,037	1,909
Delaware	202	662	5	800	11	279	8	296	1,096
District of Columbia	142	846	14	918	-	477	-	468	1,386
Florida	1,820	5,439	166	7,264	179	5,847	6	6,002	13,266
Georgia	1,277	3,965	22	5,160	946	7,591	631	9,036	14,196
Hawaii	31	110	-	140	122	164	-	286	426
Idaho	160	495	39	685	676	1,574	11	2,259	2,944
Illinois	1,243	3,610	-	4,758	1,070	2,334	-	3,371	8,129
Indiana	676	2,286	-	2,904	261	2,065	-	2,308	5,212
Iowa	370	1,198	11	1,552	511	962	5	1,461	3,013
Kansas	433	1,056	5	1,465	563	1,458	-	1,996	3,461
Kentucky	515	1,897	29	2,404	804	2,853	1	3,625	6,029
Louisiana	701	1,781	27	2,483	905	1,028	17	1,922	4,405
Maine	83	296	1	372	2	261	-	263	635
Maryland	568	2,959	25	3,423	497	1,468	23	1,767	5,190
Massachusetts	192	1,314	-	1,477	104	1,061	-	1,135	2,612
Michigan	753	1,950	39	2,691	475	1,102	1	1,570	4,261
Minnesota	412	1,480	38	1,891	409	2,634	-	2,953	4,844
Mississippi	606	1,441	32	2,054	549	1,627	6	2,176	4,230
Missouri	979	2,781	29	3,723	1,500	3,148	-	4,531	8,254
Montana	103	321	8	428	169	723	259	1,149	1,577
Nebraska	209	589	-	782	64	459	-	520	1,302
Nevada	297	923	25	1,234	551	1,027	8	1,577	2,811
New Hampshire	91	539	4	624	188	225	2	413	1,037
New Jersey	571	1,906	-	2,412	929	2,072	1	2,961	5,373
New Mexico	243	927	4	1,161	192	632	5	746	1,907
New York	778	3,746	2	4,387	1,568	1,833	-	3,375	7,762
North Carolina	1,082	3,514	88	4,556	381	1,059	22	1,432	5,988
North Dakota	130	852	20	977	45	405	98	540	1,517
Ohio	977	2,953	25	3,905	909	2,053	1	2,918	6,823
Oklahoma	913	1,871	16	2,741	203	1,295	10	1,503	4,244
Oregon	311	1,042	54	1,397	522	744	38	1,296	2,693
Pennsylvania	706	2,379	15	3,027	1,709	4,207	2	5,779	8,806
Puerto Rico	98	132	1	229	25	103	-	128	357
Rhode Island	41	428	-	461	40	828	1	860	1,321
South Carolina	620	2,290	133	2,990	212	563	4	773	3,763
South Dakota	105	446	-	542	227	412	-	634	1,176
Tennessee	971	3,626	81	4,591	446	2,848	23	3,309	7,900
Texas	2,323	4,408	-	6,600	2,684	6,908	1	9,467	16,067
Utah	180	629	15	809	183	278	1	459	1,268
Vermont	63	216	2	280	84	279	3	364	644
Virgin Islands	10	32	1	43	9	3	-	12	55
Virginia	648	1,763	38	2,393	325	6,465	60	6,533	8,926
Washington	663	1,744	115	2,495	77	678	1	753	3,248
West Virginia	182	1,145	9	1,302	506	390	-	890	2,192
Wisconsin	358	1,513	17	1,845	1,445	1,708	50	3,167	5,012
Wyoming	87	313	12	407	164	755	6	925	1,332
Total	27,695	88,249	1,374	114,922	27,695	88,249	1,374	115,452	230,374



836 Euclid Avenue, Suite 322
Lexington, KY 40502
859.721.1050
www.interstatecompact.org